



**NOW THESE PRESENTS WITNESS AS FOLLOWS:**

Pursuant to the representations and affirmations herein contained, I / We, the Indemnifiers hereby agree and undertake to indemnify and keep indemnified the Company, its Directors and Officers within the meaning of the Companies Act, 2013 against all actions, claims, costs, damages, proceedings, suits or any other consequences whatsoever, which shall or may be brought, instituted or made against the Company and / or its Directors and Officers by any person whatsoever or in respect of which the Company may pay, suffer or sustain any financial outgo consequent upon or due to the Company agreeing to register transmission of the securities of the Deceased Member without production of Succession Certificate, Probate of Will or Letter of Administration granted in my / our favor by a competent court of law.

I / We solemnly affirm that the statements contained in this Deed are true to the best of my / our knowledge, information and belief and that nothing material has been concealed from being disclosed.

IN WITNESS WHEREOF \_\_\_\_\_ (name of Indemnifier) has /have executed these presents on the day month and year first above written

Signed and delivered by the within named INDEMNIFIER[S]

NAME:  
FULL ADDRESS:

\_\_\_\_\_  
Signature of Deponent [s]

IN THE PRESENCE OF  
(Name and address of Witness to be given)

Signature of Notary Public

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

[Name and full address of the Notary Public]

Registration No.

Notary Register at Book No.

Serial No.

Notarial / Court Fee Stamps	Official Seal of Notary Public

[Counter Guarantee to the Indemnity]

(To be executed on Non-judicial stamp paper of Rs.20/- (Rs. 100 for Maharashtra)) by the person executing Form 'B'-  
Particulars of Guarantor and to be attested by Notary Public

I \_\_\_\_\_ aged about..... S/o, W/o, D/o..... residing at ..... (name of guarantor) concur to the request of the Indemnifier[s], Shri ..... / Smt..... for transmission of the securities standing in the name of the Deceased Member as specified in the Deed of Indemnity dated ..... executed by the Indemnifier [s] in favor of the Company, ..... [Name of the Company] and guarantee to the Company the performance of the covenants and undertaking set out in the Indemnity Bond by the said \_\_\_\_\_ (name of Indemnifier) and/or his/her executors, administrators, successors and representative.

Full Name of Guarantor \_\_\_\_\_

Signature of Guarantor \_\_\_\_\_

Address \_\_\_\_\_

Place:

Date:

Witness:

Full Name/Address/Occupation/Signature

(To be submitted duly attested by the Magistrate or Manager of the Bank )

TO BE EXECUTED BY THE PERSON ON NON JUDICIAL STAMP PAPER OF RS.20/- ( RS.100/- FOR MAHARASHTRA) AND TO BE ATTESTED BY THE MAGISTRATE OR BY THE MANAGER OF THE BANK WHO WILL EXECUTE THE COUNTER-GUARANTEE TO THE INDEMNITY BOND

**FORM-B**

(Private & Confidential)

(Statement to be signed by the proposed Guarantor for Transmission of shares)

1. Full Name of the Guarantor
2. Name of the father of the Guarantor
3. Full address of the Guarantor
4. Age of the Guarantor
5. If employed, place of employment designation, name and address of the employer and the total monthly emoluments drawn. (please enclose the photocopy of salary Certificate or I.T. Return)  
Or  
If in business, description of the business, trading style and address of the business concerned, annual turnover and profits.  
(Please enclose the photocopy of I.T. Return)  
Or  
If in independent profession, nature of profession, place where practiced and annual earnings,  
(Please enclose the photocopy of I.T. Return)  
Or  
If a property-holder, details of immovable property, owned viz. nature of property, situation and value  
(Documentary evidence issued by the prescribed authority)
6. Proof of identity (photocopy of Driving License or PAN)

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Signature of the Guarantor  
duly attested by the Magistrate/  
Manager of the Bank

Date:

Place:

NOTE: Any one information under sl.no. 5 to be furnished with copy of IT Return

**FORMAT OF AFFIDAVIT FOR TRANSMISSION OF SECURITIES HELD IN SINGLE NAME WITHOUT A NOMINEE – AS PER SCHEDULE VII OF SEBI (LODR) REGULATIONS, 2015**

[To be affirmed before a Magistrate, or Notary Public on Non-Judicial Stamp Paper of Rs.20/- (Rs. 100 for Maharashtra)]

I/we, [Name(s)], son / daughter / spouse of [Name(s)], aged [Age] years, an Indian Citizen / Non-Resident Indian presently residing at [Address], do hereby solemnly affirm and declare as under:

[1] That Shri / Smt [Name of the Deceased] of [mention recorded address] was holding Equity / Preference Shares in [Name of the Company] [“the Company”] of the nominal value of Rs [Value] each under Folio / Account No. [No.] and under Share Certificate No. [s] as mentioned hereunder specifying relative Distinctive numbers vide the following break-up:

Folio No.		
Certificate No. [s]	Distinctive Numbers [From- To] [both inclusive]	No. of Shares
<b>TOTAL</b>		

[2] Shri / Smt [Name of the Deceased] expired on [Date of Death] at [Place] leaving behind him / her the following Legal Heirs:

Sl.	Name of the Heir	Address	Age	Relation with Deceased

[3] The aforementioned shares were separate and acquired property of the Deceased. As per the law of intestate succession applicable to the Deceased at the time of his / her demise, the persons mentioned above is / are the only Legal Heir[s] to the estate of the Deceased and hence entitled for recording transmission of the shares held by the Deceased under the said Folio[s] and the afore stated Deceased Shareholder has left no other Heir[s] than those stated in the foregoing Table vide paragraph 2 as his / her Legal Heir[s].

[4] To enable the Company to effect transmission of the Shares held by the Deceased under the said Folio[s], I/we also append a legible photocopy of the Death Certificate (bearing no. [No.]) of the Deceased Shareholder duly attested by a Notary Public or a Gazetted Officer.

[5] I / we have/has executed requisite Indemnity Bond favoring the Company for transmission of the aforesaid Shares of the Deceased Shareholder into my / our name [s], without production of Probate of Will / Succession Certificate / Letter of Administration.

Or

\* Requisite Indemnity Bond favoring the Company for transmission of the aforesaid Shares of the Deceased Shareholder(s) into the name of [Name(s)], without production of Probate of Will / Succession Certificate / Letter of Administration is attached. Also attached is an attested copy of the No-objection/Consent letter submitted by the legal heirs listed at serial under paragraph 2 of this affidavit.

[6] I / we attach legible and self-attested copy of the PAN card as Identity Proof and Aadhaar Card / Voter ID Card / Passport / Electricity Bill [not more than two months old] as Address Proof of all the Heirs / Beneficiaries / Executors / Executrix.

[7] Bank confirmation of the signature all the Legal Heirs / Beneficiaries / the Executor / Executrix is / are also appended hereto.

[8] I am / we are executing this Affidavit / Declaration to be submitted to the concerned authorities of the Company.

**VERIFICATION**

I / We solemnly affirm that the statements contained in the above paragraphs are true to the best of my / our knowledge, information and belief and that nothing material has been concealed from being disclosed.

SOLEMNLY AFFIRMED AND DECLARED ON                      20 [Date and Year]

Identification at [Place]

On this                      day of                      20 [Year] .

SIGNATURE OF DEPONENT[S]  
[EXECUTOR / EXECUTRIX / BENEFICIARIES/ LEGAL HEIRS]

Signature of Notary Public

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*[Name and full address of the Notary Public]*

Registration No.

Notary Register at Book No.

Serial No.

Notarial / Court Fee Stamps	Official Seal of Notary Public

**\* Use this second alternative, in case any of the legal heirs has/have relinquished his/their claim**

